Curriculum Vitae

Upmanu Lall

Alan & Carol Silberstein Professor of Engineering, Department of Earth & **Environmental Engineering**

Director, Columbia Water Center

Mail Code 4711 Columbia University

840 Mudd, 500 W 120th St, New York, NY, 10027

Phone: 212 854 8905 Fax: 212 854 7081 Email: ula2@columbia.edu

Senior Research Scientist, International Research Institute for Climate Prediction

115 Monell Building, LDEO of Columbia University

61 Route 9W, Palisades, NY, 10964

Phone: 845 680 4421 Fax: 845 680 4866

Professional I	Preparation:
----------------	--------------

University of Texas, Austin, Civil & Environmental Engineering, PhD.	1980-1981
University of Texas, Austin, Civil & Environmental Engineering, M.S.	1980
Indian Institute of Technology, Kanpur Civil Engineering, B. Tech.	1976

Employment Record:	
Columbia University	
Director, Columbia Water Center	2008-date
Alan & Carol Silberstein Professor of Engineering	2005-date
Chair, Earth & Environmental Eng.,	2003-2006
Professor, Civil Eng. & Eng. Mechanics,	2002-date
Professor, Earth & Environmental Eng.,	2001-date
Senior Research Scientist, International Research Institute for	
Climate & Society	2001-date
Visiting Prof., Columbia Earth Institute	1999-2001
Adjunct Res. Scientist (LDEO.)	1997-1999
Utah State University	
Professor, Civil & Environmental Eng.,	1995-2001
Associate Director, Utah Water Research Lab.,	1997-2001
Associate Professor, Civil & Environmental Eng.	1988-1995
U.S.G.S., Salt Lake City, UT, Hydrologist	1988-1989
University of Utah	
Associate Professor, Civil & Environmental Eng.	1987-1988
Assistant Professor, Civil & Environmental Eng.	1981-1987
ISMAL, Ranchi, India, Prestressed Consulting Development Engineer	1976-1977

Recent Publications:

 Assessing chronic and climate induced water risk through spatially distributed cumulative deficit measures: A new picture of water sustainability in India, N Devineni, S Perveen, U Lall, Water Resources Research, 2013

- 2. Optimal Crop Choice, Irrigation Allocation, and the Impact of Contract Farming, WT Huh, U Lall, Production and Operations Management, 2013
- 3. A Tree Ring based Reconstruction of Delaware River Basin Streamflow using Hierarchical Bayesian Regression, N Devineni, U Lall, N Pederson, E Cook, Journal of Climate, 2013
- 4. Multi-variate flood damage assessment: a tree-based data-mining approach, B Merz, H Kreibich, U Lall, Nat. Hazards Earth Syst. Sci 13, 53-64, 2013
- Is an Epic Pluvial Masking the Water Insecurity of the Greater New York City Region?, N Pederson, ER Cook, AR Bell, U Lall, N Devineni, R Seager, K Eggleston, KP ..., Journal of Climate 26 (4), 1339-1354, 2013
- Uncertainty assessment of hydrologic and climate forecast models in Northeastern Brazil, HH Kwon, F Assis de Souza Filho, P Block, L Sun, U Lall, DS Reis, Hydrological Processes 26 (25), 3875-3885, 2012
- 7. Diagnostics of Western Himalayan Satluj River flow: Warm season (MAM/JJAS) inflow into Bhakra dam in India, I Pal, U Lall, AW Robertson, MA Cane, R Bansal, Journal of Hydrology, 2012
- 8. Mining time-lagged relationships in spatio-temporal climate data, J Kawale, S Liess, V Kumar, U Lall, A Ganguly, Intelligent Data Understanding (CIDU), 2012 Conference on, 130-135, 2012
- Dynamical structure of extreme floods in the US Midwest and the UK, J Nakamura, U Lall, Y Kushnir, AW Robertson, R Seager, Journal of Hydrometeorology, 2012
- Contract farming in a developing country with possible reneging: Can it work?, WT Huh, S Athanassoglou, U Lall, IIMB Management Review, 2012
- Assessing impacts of climate change, sea level rise, and drainage canals on saltwater intrusion to coastal aquifer, P Rasmussen, TO Sonnenborg, G Goncear, K Hinsby, Hydrol. Earth Syst. Sci. Discuss 9, 7969-8026, 2012
- 12. Predictability of Western Himalayan River flow: melt seasonal inflow into Bhakra Reservoir in Northern India, I Pal, U Lall, AW Robertson, MA Cane, R Bansal, Hydrol. Earth Syst. Sci. Discuss 9, 8137-8172, 2012
- 13. Designing Sustainable and Scalable Rural Water Supply Systems: Evidence and Lessons from Northeast Brazil, T Heikkila, FOE da Silva, D Stellar, FA de Souza Filho, S Tress, U Lall, 2012
- 14. Surface Temperature Gradients as Diagnostic Indicators of Midlatitude Circulation Dynamics, C Karamperidou, F Cioffi, U Lall, Journal of Climate 25 (12), 4154-4171, 2012
- From local to global classification of atmospheric circulation patterns associated with some European floods, B Merz, F Cioffi, E Rus Peres, R Purini, J Reusser, U Lall, EGU General Assembly Conference Abstracts 14, 7721, 2012
- Space-time structure of extreme precipitation in Europe over the last century: a climate perspective, F Cioffi, E Rus, CK Krishnamurthy, C Karamperidou, U Lall, EGU General Assembly Conference Abstracts 14, 4405, 2012
- 17. What are the important flood damage-influencing parameters? A data mining approach, B Merz, H Kreibich, U Lall, EGU General Assembly Conference Abstracts 14, 7518, 2012
- 18. Contract farming with possible reneging in a developing country: Can it work?, WT Huh, S Athanassoglou, U Lall, 2012
- 19. Real-time flood forecasting coupling different postprocessing tecniques of precipitation forecast ensembles with a distributed hydrological model. The case study of may 2008 flood in western

.

- Piemonte, Italy, D Cane, S Ghigo, D Rabuffetti, M Milelli, Natural Hazards and Earth System Sciences, 2012
- W00L05 Over-extraction from shallow bedrock versus deep alluvial aquifers: Reliability versus sustainability considerations for India's groundwater irrigation (doi 10.1029/2011WR010617), R Mukul Fishman, T Siegfried, P Raj, V Modi, U Lall, Water Resources Research 48 (6), 2012
- 21. What's the P in PPP?, T Heikkila, U Lall, AGU Fall Meeting Abstracts 1, 1114, 2011
- 22. Extreme precipitation in Europe over the last century: a climate perspective, F Cioffi, C Karamperidou, CB Krishnamurthy, U Lall, AGU Fall Meeting Abstracts 1, 1002, 2011
- 23. Developing" Hydrology or Hydromorphology: A modern research agenda that can inform the trenches, U Lall, American Geophysical Union, Fall Meeting 2011, abstract# H32C-02, 2011
- 24. Predictability and Diagnostics of Western Himalayan Hydro-climatology, I Pal, U Lall, AW Robertson, MA Cane, AGU Fall Meeting Abstracts 1, 1172, 2011
- 25. Low-frequency modulation of large-scale weather regimes and impacts on extreme flooding over the Midwest of the United States, AW Robertson, Y Kushnir, U Lall, J Nakamura, AGU Fall Meeting Abstracts 1, 1018, 2011
- 26. The water-energy-food-climate-economics nexus: solving hunger and resource scarcity, U Lall, AGU Fall Meeting Abstracts 1, 01, 2011
- 27. Incorporating Seasonal Forecast of Inflow into Existing Water Resource Management at Ubolratana Dam, Thailand, V Chatikavanii, PJ Block, U Lall, AGU Fall Meeting Abstracts 1, 1121, 2011
- 28. Spatio-Temporal Non-Stationary Flood Frequency Modeling: Seasonal Peak Floods in Southern Brazil Modeled Using Pre-and Concurrent Pacific and Atlantic Ocean Conditions, CH Lima, U Lall, AGU Fall Meeting Abstracts 1, 06, 2011
- 29. Decadal ENSO variability as reflected by Local Lyapunov Exponents, C Karamperidou, MA Cane, AT Wittenberg, U Lall, PN Di Nezio, AGU Fall Meeting Abstracts 1, 03, 2011
- 30. The historical impact of climate extremes on global agricultural production and trade, TJ Troy, I Pal, PJ Block, U Lall, AGU Fall Meeting Abstracts 1, 1031, 2011
- 31. Delaware River Streamflow Reconstruction using Tree Rings: Exploration of Hierarchical Bayesian Regression, N Devineni, U Lall, E Cook, N Pederson, AGU Fall Meeting Abstracts 1, 07, 2011
- 32. Climatic precursors of autumn streamflow in the northeast United States, G Gong, L Wang, U Lall, International Journal of Climatology 31 (12), 1773-1784, 2011
- 33. Predicting foraging wading bird populations in Everglades National Park from seasonal hydrologic statistics under different management scenarios, HH Kwon, U Lall, V Engel, Water Resources Research 47 (9), 2011
- 34. Over-extraction from shallow bedrock versus deep alluvial aquifers: Reliability versus sustainability considerations for India's groundwater irrigation, RM Fishman, T Siegfried, P Raj, V Modi, U Lall, Water Resources Research 47 (6), 2011
- 35. Insights from a joint analysis of Indian and Chinese monsoonrainfall data, M Zhou, F Tian, U Lall, H Hu, Hydrology and Earth System Sciences 15 (8), 2709, 2011
- 36. Quantifying Sustainability: Methodology for and Determinants of, K Abayomi, V de la Pena, U Lall, M Levy, Green Finance and Sustainability: Environmentally-Aware Business Models and ..., 2011
- 37. CLIMATE CHANGE—ITS IMPACT ON AGRICULTURE PRODUCTIVITY AND LIVELIHOOD: THE POLICY RESPONSE-Climate Change Impact and Management Strategies for Sustainable Water-Energy-Agriculture Outcomes in Punjab, RS Sidhu, K Vatta, U Lall, Indian Journal of Agricultural Economics 66 (3), 328, 2011
- 38. Visionary Reflections from a Crystal Clear Pool of Water Scientists, U Lall, Journal of Contemporary Water Research and Education 123 (1), 4, 2011

×

- 39. Will hydrologists learn from the world around them?: Empiricism, models, uncertainty and stationarity, U Lall, AGU Fall Meeting Abstracts 1, 01, 2010
- 40. Northern Hemisphere Meridional and Zonal Temperature Gradients and their Relation to Hydrologic Extremes at Mid-latitudes: Trends, Variability and Link to Climate Modes in Observations and Simulations., C Karamperidou, U Lall, F Cioffi, AGU Fall Meeting Abstracts 1, 0142, 2010
- 41. GCM Projections of Precipitation Extremes in the Mediterranean: Changes and Low Frequency Characteristics, F Cioffi, U Lall, E Volodin, C Karamperidou, R Purini, AGU Fall Meeting Abstracts 1, 0933, 2010
- 42. Bayesian Non-Stationary Flood Frequency Estimation at Ungauged Basins Using Climate Information and a Scaling Model, CH Lima, U Lall, AGU Fall Meeting Abstracts 1, 06, 2010
- 43. Sensitivity of Storage Systems in India: Role of Human Behavior Responsive to Low Frequency Climate Variations, N Devineni, S Perveen, U Lall, AGU Fall Meeting Abstracts 1, 04, 2010
- 44. Understanding Changes in frequency of extreme rainfall over Central India, CB Krishnamurthy, U Lall, AGU Fall Meeting Abstracts 1, 1027, 2010
- 45. 2010: Why is it flooding everywhere this year? Coincidence or a predictable climate phenomenon, and how can we respond?, U Lall, AGU Fall Meeting Abstracts 1, 03, 2010
- 46. Exploring oceanic source regions and moisture transport of extreme floods over large basins in the contiguous United States, J Nakamura, U Lall, Y Kushnir, AW Robertson, AGU Fall Meeting Abstracts 1, 06, 2010
- 47. Sea Level fluctuations and their hydrologic impacts in S. Florida, V Engel, C Karamperidou, E Stabenau, U Lall, AGU Fall Meeting Abstracts 1, 1222, 2010
- 48. An Examination of the Sensitivity of Runoff in the Northeastern US to 20th Century Development, IN Mohammed, DG Tarboton, R Cohen, U Lall, AGU Fall Meeting Abstracts 1, 1263, 2010
- 49. Quantifying the Dimensions of Water Crisis in India: Spatial Water Deficits and Storage Requirements, S Perveen, N Devineni, U Lall, AGU Fall Meeting Abstracts 1, 03, 2010
- 50. A rainwater harvesting system reliability model based on nonparametric stochastic rainfall generator, M Basinger, F Montalto, U Lall, Journal of Hydrology 392 (3), 105-118, 2010
- 51. Modeling irrigated area to increase water, energy, and food security in semiarid India, T Siegfried, S Sobolowski, P Raj, R Fishman, V Vasquez, K Narula, U Lall, V Modi, Weather, Climate, and Society 2 (4), 255-270, 2010
- 52. A nonparametric stochastic approach for multisite disaggregation of annual to daily streamflow, K Nowak, J Prairie, B Rajagopalan, U Lall, Water Resources Research 46 (8), W08529, 2010
- 53. A modified support vector machine based prediction model on streamflow at the Shihmen Reservoir, Taiwan, PH Li, HH Kwon, L Sun, U Lall, JJ Kao, International Journal of Climatology 30 (8), 1256-1268, 2010
- 54. A Simple Framework for Incorporating Seasonal Streamflow Forecasts into Existing Water Resource Management Practices1, G Gong, L Wang, L Condon, A Shearman, U Lall, JAWRA Journal of the American Water Resources Association 46 (3), 574-585, 2010
- 55. Seasonal hydrologic dynamics under changing climate, land use-land cover and human influence, P Kumar, AJ Valocchi, M Sivapalan, X Cai, U Lall, 2010
- 56. Mid Latitude Extreme Precipitation under future changed climate Mid Latitude Extreme Precipitation under future changed climate Mid Latitude Extreme Precipitation under future changed climate, E Volodin, NA Diansky, U Lall, C Karamperidou, F Cioffi, C Transerici, R Purini, EGU General Assembly Conference Abstracts 12, 11125, 2010
- 57. Predictive downscaling based on non-homogeneous hidden Markov models, AF Khalil, HH Kwon, U Lall, YH Kaheil, Hydrological Sciences Journal–Journal des Sciences Hydrologiques 55 (3), 333-350, 2010

ı

- 58. Spatial scaling in a changing climate: A hierarchical bayesian model for non-stationary multi-site annual maximum and monthly streamflow, CHR Lima, U Lall, Journal of Hydrology 383 (3), 307-318, 2010
- 59. Local Polynomial–Based Flood Frequency Estimator for Mixed Population, S Apipattanavis, B Rajagopalan, U Lall, Journal of Hydrologic Engineering 15 (9), 680-691, 2010
- 60. Interpreting variability in global SST data using independent component analysis and principal component analysis, S Westra, C Brown, U Lall, I Koch, A Sharma, International Journal of Climatology 30 (3), 333-346, 2010
- El-Niño/Southern Oscillation (ENSO) influences on monthly NO< sub> 3</sub> load and concentration, stream flow and precipitation in the Little River Watershed, Tifton, Georgia (GA), VW Keener, GW Feyereisen, U Lall, JW Jones, DD Bosch, R Lowrance, Journal of hydrology 381 (3), 352-363, 2010
- 62. Climate informed long term seasonal forecasts of hydroenergy inflow for the Brazilian hydropower system, CHR Lima, U Lall, Journal of Hydrology 381 (1), 65-75, 2010
- 63. Climate informed monthly streamflow forecasts for the Brazilian hydropower network using a periodic ridge regression model, CHR Lima, U Lall, Journal of Hydrology 380 (3), 438-449, 2010
- 64. Stochastic methods for modeling precipitation and streamflow, B Rajagopalan, J Salas, U Lall, Advances in Data-based Approaches for Hydrologic Modeling and Forecasting, 17-52, 2010
- 65. Closing the Carbon Cycle: Liquid Fuels from Air, Water and Sunshine, KS Lackner, E Dahlgren, C Graves, C Meinrenken, T Socci, L Archer, S ..., New York: Lenfest Center for Sustainable Energy, Columbia University, 2010
- 66. Groundwater and global hydrological change-current challenges and new insight, R Taylor, L Longuevergne, R Harding, M Todd, B Hewitson, U Lall, K Hiscock ..., Hydrocomplexity: New Tools for solving Wicked Water Problems 338, 51-61, 2010

Relevant Publications:

- 67. Sankarasubramanian, A., U. Lall, F.D.Souza Filho, A.Sharma, Improved Water Allocation utilizing Probabilistic Climate Forecasts: Short Term Water Contracts in a Risk Management Framework, *Water Resources Research*, 45, W11409, doi:10.1029/2009WR007821, 2009
- 68. Kwon H.-H., U. Lall, A. F. Khalil (2007), Stochastic simulation model for nonstationary time series using an autoregressive wavelet decomposition: Applications to rainfall and temperature, Water Resour. Res., 43, W05407, doi:10.1029/2006WR005258
- 69. Lall U., Y.-I. Moon, H.-H. Kwon, K. Bosworth (2006), Locally weighted polynomial regression: Parameter choice and application to forecasts of the Great Salt Lake, Water Resour. Res., 42, W05422, doi:10.1029/2004WR003782
- 70. DeSouza, Filho, F. A., and U. Lall, Seasonal to Interannual Ensemble Streamflow Forecasts for Ceara, Brazil: Applications of a Mutlivariate, Semi-Parametric Algorithm, Water Resources Research, Nov 2003, 39(11), 1307-1321.
- 71. Sankarsubramanium, A., and U. Lall, Flood quantiles and changing climate: Seasonal Forecasts and Causal relations, Water Resources Research, May 2003, 39(5), 1154-1165.

Synergistic Activities

- Developing Global Roundtable on Water (GROW) with World Economic Forum and others
- Participation in NRC panels:
 - Climate and Water Cycle
 - Flood Risks in the American River

ï

- Estimating and Communicating Uncertainty in Weather and Climate Forecasts
- Committee on Preparing for the Third Decade (Cycle 3) of the National Water-Quality Assessment (NAWQA) Program
- Modeling and uncertainty analysis for the restoration of the Everglades, Florida
- Moderator for President's Regional Panel on Global Climate Change.
- Development of case study based undergraduate environmental engineering curriculum at Columbia University.
- Short courses on stochastic processes and their applications to water resources and climate risk management for state agencies in UT, FL and for UNESCO in India and Ethiopia

Collaborators & Other Affiliations

H. Ahn (FEMA), V. Engle (National Park Service), F. Filho (Federal University of Ceara), A. Greene (Columbia Univ), T. Heikkila (Univ of Colorado), B. Rajagopalan (Univ of Colorado), C. Ribeiro-Lima (Univ of Brasilia), A. Robertson (Columbia Univ), A. Sharma (Univ of New South Wales), T. Siegfried (Columbia University), Y.I. Moon (Seoul University), S. Westra (University of New South Wales).

Advisors: Phd: L R Beard, MS: L. W. Mays

Advisees (last 5 years):

Heillweger F. (Northeastern Univ.), G. Pizarro (UN Millenium Project), Arumugam, S. (N.C. State), Sveinnson, O. (Iceland), Samuels, R., (Tel Aviv University), Brown C. (Columbia University), Marks, D., Lima, C. (Columbia University), Nakamura, J. (Columbia University), Tuglus, C. (University of California, Berkeley), Landel, G., Sgallari, S., (University of Padua), Landot, T. (Goldman Sachs), Khalil A., (Partner Re), Kwon, H. (Korea Institute of Construction Technology).

Awards:

Kim Award for Faculty Involvement, Columbia University Borland Lecture on Hydrology, AGU Hydrology Days	2008 2006
Research Excellence Award, College of Engineering, Utah State University Outstanding Researcher, Dept. of Civil & Environ. Eng., Utah State University John R. Parks Teachers Fellowship, College of Engineering, University of Utah	1995-1996
	1995-1996
	1982-1983